

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

| | |
|---|---|
| Date of mailing: 28 December 2000 (28.12.00) | |
| International application No.: PCT/JP00/04000 | Applicant's or agent's file reference: PCT-KB-55 |
| International filing date: 19 June 2000 (19.06.00) | Priority date: 18 June 1999 (18.06.99) |
| Applicant: KAJIYAMA, Hiroshi et al | |

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International preliminary Examining Authority on:
31 July 2000 (31.07.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35 | Authorized officer: J. Zahra Telephone No.: (41-22) 338.83.38 |
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PATENT COOPERATION TREATY

PCT/JP00/04000

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| 担当者 | 木島 け | チェック | (木島) |
| 外プロ | 中 田 | チェック | (中田) |

PCT

From the INTERNATIONAL BUREAU

To:

NAKATA, Masanori
Kanebo, Limited
Intellectual Property Center
20-20, Kaigan 3-chome
Minato-ku
Tokyo 108-8080
JAPON

NOTIFICATION CONCERNING SUBMISSION OR TRANSMITTAL OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

| | | |
|---|---|------------------------|
| Date of mailing (day/month/year) 16 October 2000 (16.10.00) | 609 | IMPORTANT NOTIFICATION |
| Applicant's or agent's file reference PCT-KB-55 | | |
| International application No. PCT/JP00/04000 | | |
| International filing date (day/month/year) 19 June 2000 (19.06.00) | | |
| International publication date (day/month/year) Not yet published | Priority date (day/month/year) 18 June 1999 (18.06.99) | |
| Applicant KANEBO, LIMITED et al | | |

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An asterisk(*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The letters "NR" (appearing in the right-hand column) denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, the attention of the applicant is directed to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

| Priority date | Priority application No. | Country or regional Office or PCT receiving Office | Date of receipt of priority document |
|-------------------------|--------------------------|---|---|
| 18 June 1999 (18.06.99) | 11/172414 | JP | 04 Augu 2000 (04.08.00) |
| 21 July 1999 (21.07.99) | 11/205836 | JP | 04 Augu 2000 (04.08.00) |
| 21 July 1999 (21.07.99) | 11/205838 | JP | 04 Augu 2000 (04.08.00) |
| 26 July 1999 (26.07.99) | 11/210370 | JP | 04 Augu 2000 (04.08.00) |
| 30 July 1999 (30.07.99) | 11/216585 | JP | 04 Augu 2000 (04.08.00) |
| 14 Sept 1999 (14.09.99) | 11/259914 | JP | 04 Augu 2000 (04.08.00) |
| 20 Sept 1999 (20.09.99) | 11/264727 | JP | 04 Augu 2000 (04.08.00) |
| 27 Sept 1999 (27.09.99) | 11/273086 | JP | 04 Augu 2000 (04.08.00) |
| 06 Janu 2000 (06.01.00) | 2000/609 | JP | 04 Augu 2000 (04.08.00) |

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| The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland | Authorized officer Tessadel PAMPLIEGA <i>Toly</i> |
| Facsimile No. (41-22) 740.14.35 | Telephone No. (41-22) 338.83.38 |

Form PCT/IB/304 (July 1998)



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PATENT COOPERATION TREATY

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| 担当者 | 木島 | ナニツク | (木島) |
| 外プロ | 中田 | ナニツク | (中田) |

PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY
EXAMINATION REPORT

(PCT Rule 72.2)

From the INTERNATIONAL BUREAU

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To:

NAKATA, Masanori
Kanebo, Limited
Intellectual Property Center
20-20, Kaigan 3-chome
Minato-ku
Tokyo 108-8080
JAPON



| | |
|--|---|
| Date of mailing (day/month/year) 29 May 2001 (29.05.01) | To: NAKATA, Masanori Kanebo, Limited Intellectual Property Center 20-20, Kaigan 3-chome Minato-ku Tokyo 108-8080 JAPON |
| Applicant's or agent's file reference PCT-KB-55 | |
| International application No. PCT/JP00/04000 | IMPORTANT NOTIFICATION |
| Applicant KANEBO, LIMITED et al | International filing date (day/month/year) 19 June 2000 (19.06.00) |

13. 6. 13

1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

EP, AT, AU, CA, CH, CN, CZ, FI, KP, NO, NZ, PL, RO, RU, SK, US

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AP, EA, AE, AL, AM, AZ, BA, BB, BG, BR, BY, CR, CU, DE, DK, DM, EE, ES, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, PT, SD, SE, SG, SI, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, OA

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

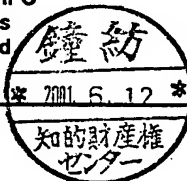
The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Form PCT/IB/338 (July 1996)



Authorized officer

Eliott Peretti

Telephone No. (41-22) 338.83.38

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PACT COOPERATION TREATY

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| 担当者 | 木島 | 木島 |
| 外プロ | 中田 | 中田 |

From the INTERNATIONAL BUREAU

PCT

NOTICE INFORMING THE APPLICANT OF THE
COMMUNICATION OF THE INTERNATIONAL
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

To:

NAKATA, Masanori
Kanebo, Limited
Intellectual Property Center
20-20, Kaigan 3-chome
Minato-ku
Tokyo 108-8080
JAPON



| | | |
|---|---|---|
| Date of mailing (day/month/year) 28 December 2000 (28.12.00) | | |
| Applicant's or agent's file reference PCT-KB-55 | | 609 |
| International application No. PCT/JP00/04000 | International filing date (day/month/year) 19 June 2000 (19.06.00) | Priority date (day/month/year) 18 June 1999 (18.06.99) |
| Applicant KANEBO, LIMITED et al | | |

IMPORTANT NOTICE

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:
AU,KP,KR,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AE,AL,AM,AP,AT,AZ,BA,BB,BG,BR,BY,CA,CH,CN,CR,CU,CZ,DE,DK,DM,EA,EE,EP,ES,FI,GB,GD,
GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,MN,MW,MX,
NO,NZ,OA,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,UZ,VN,YU,ZA,ZW

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on
28 December 2000 (28.12.00) under No. WO 00/78839

REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the national phase, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

J. Zahra

Telephone No. (41-22) 338.83.38



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PATENT COOPERATION TREATY

PCT

INFORMATION CONCERNING ELECTED
OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

From the INTERNATIONAL BUREAU

To:

NAKATA, Masanori
Kanebo, Limited
Intellectual Property Center
20-20, Kaigan 3-chome
Minato-ku
Tokyo 108-8080
JAPON

| | | |
|---|---|-----------------------|
| Date of mailing (day/month/year) 28 December 2000 (28.12.00) | | |
| Applicant's or agent's file reference PCT-KB-55 | | IMPORTANT INFORMATION |
| International application No. PCT/JP00/04000 | International filing date (day/month/year) 19 June 2000 (19.06.00) | |
| Priority date (day/month/year) 18 June 1999 (18.06.99) | | |
| Applicant KANEBO, LIMITED et al | | |

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP : GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW

EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE

National : AU, BG, CA, CN, CZ, DE, IL, JP, KP, KR, MN, NO, NZ, PL, RO, RU, SE, SK, US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA : AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

OA : BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

National : AE, AL, AM, AT, AZ, BA, BB, BR, BY, CH, CR, CU, DK, DM, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IN, IS, KE, KG, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MW, MX, PT, SD,
SG, SI, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW

3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer:

J. Zahra

Telephone No. (41-22) 338.83.38



PATENT COOPERATION TREATY

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| 担当者 | 吉田 け | チェック | (不) |
| 外プロ | 中 田 | チェック | (印) |

From the INTERNATIONAL BUREAU

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PCT

NOTIFICATION OF RECEIPT OF
RECORD COPY

(PCT Rule 24.2(a))

To:

NAKATA, Masanori
Kanebo, Limited
Intellectual Property Center
20-20, Kaigan 3-chome
Minato-ku
Tokyo 108-8080
JAPON

| | |
|---|---|
| Date of mailing (day/month/year) 18 July 2000 (18.07.00) | IMPORTANT NOTIFICATION |
| Applicant's or agent's file reference PCT-KB-55 | International application No. PCT/JP00/04000 |

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The applicant is hereby notified that the International Bureau has received the record copy of the international application as detailed below.

Name(s) of the applicant(s) and State(s) for which they are applicants:

KANEBO, LIMITED (for all designated States except US)
KAJIYAMA, Hiroshi et al (for US)

International filing date : 19 June 2000 (19.06.00)
Priority date(s) claimed : 18 June 1999 (18.06.99)
21 July 1999 (21.07.99)
21 July 1999 (21.07.99)
26 July 1999 (26.07.99)
30 July 1999 (30.07.99)
14 September 1999 (14.09.99)
20 September 1999 (20.09.99)
27 September 1999 (27.09.99)
06 January 2000 (06.01.00)

Date of receipt of the record copy
by the International Bureau : 30 June 2000 (30.06.00)

List of designated Offices :

AP : GH,GM,KE,LS,MW,MZ,SD,SL,SZ,TZ,UG,ZW
EA : AM,AZ,BY,KG,KZ,MD,RU,TJ,TM
EP : AT,BE,CH,CY,DE,DK,ES,FI,FR,GB,GR,IE,IT,LU,MC,NL,PT,SE
OA : BF,BJ,CF,CG,CI,CM,GA,GN,GW,ML,MR,NE,SN,TD,TG
National : AE,AL,AM,AT,AU,AZ,BA,BB,BG,BR,BY,CA,CH,CN,CR,CU,CZ,DE,DK,DM,EE,ES,FI,GB,
GD,GE,GH,GM,HR,HU,ID,IL,IN,IS,JP,KE,KG,KP,KR,KZ,LC,LK,LR,LS,LT,LU,LV,MA,MD,MG,MK,
MN,MW,MX,NO,NZ,PL,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,TM,TR,TT,TZ,UA,UG,US,UZ,VN,YU,ZA,
ZW

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer:

Susumu Kubo

Telephone No. (41-22) 338.83.38



Continuation of Form PCT/IB/301

NOTIFICATION OF RECEIPT OF RECORD COPY

| | |
|---|---|
| Date of mailing (day/month/year) 18 July 2000 (18.07.00) | IMPORTANT NOTIFICATION |
| Applicant's or agent's file reference PCT-KB-55 | International application No. PCT/JP00/04000 |

ATTENTION

The applicant should carefully check the data appearing in this Notification. In case of any discrepancy between these data and the indications in the international application, the applicant should immediately inform the International Bureau.

In addition, the applicant's attention is drawn to the information contained in the Annex, relating to:

- ☒ time limits for entry into the national phase
- ☒ confirmation of precautionary designations
- ☒ requirements regarding priority documents

A copy of this Notification is being sent to the receiving Office and to the International Searching Authority.

INFORMATION ON TIME LIMITS FOR ENTERING THE NATIONAL PHASE

The applicant is reminded that the "national phase" must be entered before each of the designated Offices indicated in the Notification of Receipt of Record Copy (Form PCT/IB/301) by paying national fees and furnishing translations, as prescribed by the applicable national laws.

The time limit for performing these procedural acts is **20 MONTHS** from the priority date or, for those designated States which the applicant elects in a demand for international preliminary examination or in a later election, **30 MONTHS** from the priority date, provided that the election is made before the expiration of 19 months from the priority date. Some designated (or elected) Offices have fixed time limits which expire even later than 20 or 30 months from the priority date. In other Offices an extension of time or grace period, in some cases upon payment of an additional fee, is available.

In addition to these procedural acts, the applicant may also have to comply with other special requirements applicable in certain Offices. It is the applicant's responsibility to ensure that the necessary steps to enter the national phase are taken in a timely fashion. Most designated Offices do not issue reminders to applicants in connection with the entry into the national phase.

For detailed information about the procedural acts to be performed to enter the national phase before each designated Office, the applicable time limits and possible extensions of time or grace periods, and any other requirements, see the relevant Chapters of Volume II of the PCT Applicant's Guide. Information about the requirements for filing a demand for international preliminary examination is set out in Chapter IX of Volume I of the PCT Applicant's Guide.

GR and ES became bound by PCT Chapter II on 7 September 1996 and 6 September 1997, respectively, and may, therefore, be elected in a demand or a later election filed on or after 7 September 1996 and 6 September 1997, respectively, regardless of the filing date of the international application. (See second paragraph above.)

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

CONFIRMATION OF PRECAUTIONARY DESIGNATIONS

This notification lists only specific designations made under Rule 4.9(a) in the request. It is important to check that these designations are correct. Errors in designations can be corrected where precautionary designations have been made under Rule 4.9(b). The applicant is hereby reminded that any precautionary designations may be confirmed according to Rule 4.9(c) before the expiration of 15 months from the priority date. If it is not confirmed, it will automatically be regarded as withdrawn by the applicant. There will be no reminder and no invitation. Confirmation of a designation consists of the filing of a notice specifying the designated State concerned (with an indication of the kind of protection or treatment desired) and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.

REQUIREMENTS REGARDING PRIORITY DOCUMENTS

For applicants who have not yet complied with the requirements regarding priority documents, the following is recalled.

Where the priority of an earlier national, regional or international application is claimed, the applicant must submit a copy of the said earlier application, certified by the authority with which it was filed ("the priority document") to the receiving Office (which will transmit it to the International Bureau) or directly to the International Bureau, before the expiration of 16 months from the priority date, provided that any such priority document may still be submitted to the International Bureau before that date of international publication of the international application, in which case that document will be considered to have been received by the International Bureau on the last day of the 16-month time limit (Rule 17.1(a)).

Where the priority document is issued by the receiving Office, the applicant may, instead of submitting the priority document, request the receiving Office to prepare and transmit the priority document to the International Bureau. Such request must be made before the expiration of the 16-month time limit and may be subjected by the receiving Office to the payment of a fee (Rule 17.1(b)).

If the priority document concerned is not submitted to the International Bureau or if the request to the receiving Office to prepare and transmit the priority document has not been made (and the corresponding fee, if any, paid) within the applicable time limit indicated under the preceding paragraphs, any designated State may disregard the priority claim, provided that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity to furnish the priority document within a time limit which is reasonable under the circumstances.

Where several priorities are claimed, the priority date to be considered for the purposes of computing the 16-month time limit is the filing date of the earliest application whose priority is claimed.

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Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| | | |
|---|--|---|
| Applicant's or agent's file reference PCT-KB-55 | FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) | |
| International application No. PCT/JP00/04000 | International filing date (day/month/year) 19 June 2000 (19.06.00) | Priority date (day/month/year) 18 June 1999 (18.06.99) |
| International Patent Classification (IPC) or national classification and IPC C08G 63/06, D01F 6/62 305 | | |
| Applicant KANEBO, LIMITED | | |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 3 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

| | |
|---|--|
| Date of submission of the demand 31 July 2000 (31.07.00) | Date of completion of this report 06 December 2000 (06.12.2000) |
| Name and mailing address of the IPEA/JP | Authorized officer |
| Facsimile No. | Telephone No. |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP00/04000

I. Basis of the report

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☐ the description:
 pages _____, as originally filed
 pages _____, filed with the demand
 pages _____, filed with the letter of _____
- ☐ the claims:
 pages _____, as originally filed
 pages _____, as amended (together with any statement under Article 19
 pages _____, filed with the demand
 pages _____, filed with the letter of _____
- ☐ the drawings:
 pages _____, as originally filed
 pages _____, filed with the demand
 pages _____, filed with the letter of _____
- ☐ the sequence listing part of the description:
 pages _____, as originally filed
 pages _____, filed with the demand
 pages _____, filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheets/fig _____

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

** Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP00/04000

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**1. Statement**

| | | | |
|-------------------------------|--------|------|-----|
| Novelty (N) | Claims | 1-43 | YES |
| | Claims | | NO |
| Inventive step (IS) | Claims | | YES |
| | Claims | 1-43 | NO |
| Industrial applicability (IA) | Claims | 1-43 | YES |
| | Claims | | NO |

2. Citations and explanations

Document 1: JP, 10-287735, A (Kanebo, Ltd.) 27 October 1998

Claims 1-43

Based on the description of document 1 cited in the international search report, the inventions set forth in Claims 1-43 do not appear to involve an inventive step.

Document 1 describes a fiber formed from a polylactic acid composition that contains 0.0005-0.0015 mol % tin octylate with respect to a polylactic acid monomer comprising L-lactic acid. Furthermore, persons skilled in the art can easily establish as needed the monomer content of the polylactic acid, properties such as the relative viscosity and the like of the polylactic acid, properties of the fiber, and the processing conditions of the fiber.

PCT

国際予備審査報告

(法第12条、法施行規則第56条)
[PCT36条及びPCT規則70]

REC'D 22 DEC 2000

WIPO

PCT

28/12 742

| | | |
|---|---|-------------------------|
| 出願人又は代理人 PCT-KB-55 の書類記号 | 今後の手続きについては、国際予備審査報告の送付通知（様式PCT/ IPEA/416）を参照すること。 | |
| 国際出願番号 PCT/JPO0/04000 | 国際出願日 (日.月.年) 19.06.00 | 優先日 (日.月.年) 18.06.99 |
| 国際特許分類 (IPC) Int. Cl ⁷ C08G63/06, D01F6/62 305 | | |
| 出願人 (氏名又は名称) 鐘紡株式会社 | | |

| |
|--|
| 1. 国際予備審査機関が作成したこの国際予備審査報告を法施行規則第57条 (PCT36条) の規定に従い送付する。 |
| 2. この国際予備審査報告は、この表紙を含めて全部で <u>3</u> ページからなる。 <input type="checkbox"/> この国際予備審査報告には、附属書類、つまり補正されて、この報告の基礎とされた及び/又はこの国際予備審査機関に対してした訂正を含む明細書、請求の範囲及び/又は図面も添付されている。 (PCT規則70.16及びPCT実施細則第607号参照) この附属書類は、全部で <u> </u> ページである。 |
| 3. この国際予備審査報告は、次の内容を含む。 I <input checked="" type="checkbox"/> 国際予備審査報告の基礎 II <input type="checkbox"/> 優先権 III <input type="checkbox"/> 新規性、進歩性又は産業上の利用可能性についての国際予備審査報告の不作成 IV <input type="checkbox"/> 発明の単一性の欠如 V <input checked="" type="checkbox"/> PCT35条(2)に規定する新規性、進歩性又は産業上の利用可能性についての見解、それを裏付けるための文献及び説明 VI <input type="checkbox"/> ある種の引用文献 VII <input type="checkbox"/> 国際出願の不備 VIII <input type="checkbox"/> 国際出願に対する意見 |

| | | |
|--|---|----------|
| 国際予備審査の請求書を受理した日 31.07.00 | 国際予備審査報告を作成した日 06.12.00 | |
| 名称及びあて先 日本国特許庁 (IPEA/JP) 郵便番号100-8915 東京都千代田区霞が関三丁目4番3号 | 特許庁審査官 (権限のある職員) 森川 聡 電話番号 03-3581-1101 内線 3456 | 4 J 9268 |

I. 国際予備審査報告の基礎

1. この国際予備審査報告は下記の出願書類に基づいて作成された。(法第6条(PCT14条)の規定に基づく命令に
 応答するために提出された差し替え用紙は、この報告書において「出願時」とし、本報告書には添付しない。
 PCT規則70.16, 70.17)

☒ 出願時の国際出願書類

- ☐ 明細書 第 _____ ページ、 出願時に提出されたもの
 明細書 第 _____ ページ、 国際予備審査の請求書と共に提出されたもの
 明細書 第 _____ ページ、 _____ 付の書簡と共に提出されたもの
- ☐ 請求の範囲 第 _____ 項、 出願時に提出されたもの
 請求の範囲 第 _____ 項、 PCT19条の規定に基づき補正されたもの
 請求の範囲 第 _____ 項、 国際予備審査の請求書と共に提出されたもの
 請求の範囲 第 _____ 項、 _____ 付の書簡と共に提出されたもの
- ☐ 図面 第 _____ ページ/図、 出願時に提出されたもの
 図面 第 _____ ページ/図、 国際予備審査の請求書と共に提出されたもの
 図面 第 _____ ページ/図、 _____ 付の書簡と共に提出されたもの
- ☐ 明細書の配列表の部分 第 _____ ページ、 出願時に提出されたもの
 明細書の配列表の部分 第 _____ ページ、 国際予備審査の請求書と共に提出されたもの
 明細書の配列表の部分 第 _____ ページ、 _____ 付の書簡と共に提出されたもの

2. 上記の出願書類の言語は、下記に示す場合を除くほか、この国際出願の言語である。

上記の書類は、下記の言語である _____ 語である。

- ☐ 国際調査のために提出されたPCT規則23.1(b)にいう翻訳文の言語
☐ PCT規則48.3(b)にいう国際公開の言語
☐ 国際予備審査のために提出されたPCT規則55.2または55.3にいう翻訳文の言語

3. この国際出願は、ヌクレオチド又はアミノ酸配列を含んでおり、次の配列表に基づき国際予備審査報告を行った。

- ☐ この国際出願に含まれる書面による配列表
☐ この国際出願と共に提出されたフレキシブルディスクによる配列表
☐ 出願後に、この国際予備審査(または調査)機関に提出された書面による配列表
☐ 出願後に、この国際予備審査(または調査)機関に提出されたフレキシブルディスクによる配列表
☐ 出願後に提出した書面による配列表が出願時における国際出願の開示の範囲を超える事項を含まない旨の陳述書の提出があった
☐ 書面による配列表に記載した配列とフレキシブルディスクによる配列表に記載した配列が同一である旨の陳述書の提出があった。

4. 補正により、下記の書類が削除された。

- ☐ 明細書 第 _____ ページ
☐ 請求の範囲 第 _____ 項
☐ 図面 図面の第 _____ ページ/図

5. ☐ この国際予備審査報告は、補充欄に示したように、補正が出願時における開示の範囲を越えてされたものと認められるので、その補正がされなかったものとして作成した。(PCT規則70.2(c) この補正を含む差し替え用紙は上記1.における判断の際に考慮しなければならないが、本報告に添付する。)

V. 新規性、進歩性又は産業上の利用可能性についての法第12条（PCT35条(2)）に定める見解、それを裏付ける文献及び説明

1. 見解

| | | | |
|----------------|-------|------|---|
| 新規性 (N) | 請求の範囲 | 1-43 | 有 |
| | 請求の範囲 | | 無 |
| 進歩性 (IS) | 請求の範囲 | | 有 |
| | 請求の範囲 | 1-43 | 無 |
| 産業上の利用可能性 (IA) | 請求の範囲 | 1-43 | 有 |
| | 請求の範囲 | | 無 |

2. 文献及び説明 (PCT規則70.7)

文献1: JP, 10-287735, A (鐘紡株式会社)、27. 10月.
1998

請求の範囲 1-43

請求の範囲 1-43 は、国際調査報告に引用された文献1より進歩性を有しない。
文献1には、L-乳酸からなるポリ乳酸の乳酸単位に対して0.00005~0.0015モル%のオクチル酸スズを含んでなるポリ乳酸組成物を成形してなる繊維が記載されており、ポリ乳酸に含まれるモノマー含有量、ポリ乳酸の相対粘度等の物性、繊維の物性、繊維の加工状態を適宜設定することは、当業者が容易に適宜設定し得るものである。

国際調査報告

(法8条、法施行規則第40、41条)
[PCT18条、PCT規則43、44]

| | | |
|-----------------------------|---|-------------------------|
| 出願人又は代理人 の書類記号 PCT-KB-55 | 今後の手続きについては、国際調査報告の送付通知様式(PCT/ISA/220) 及び下記5を参照すること。 | |
| 国際出願番号 PCT/JP00/04000 | 国際出願日 (日.月.年) 19.06.00 | 優先日 (日.月.年) 18.06.99 |
| 出願人(氏名又は名称) 鐘紡株式会社 | | |

国際調査機関が作成したこの国際調査報告を法施行規則第41条(PCT18条)の規定に従い出願人に送付する。
この写しは国際事務局にも送付される。

この国際調査報告は、全部で 2 ページである。

☐ この調査報告に引用された先行技術文献の写しも添付されている。

1. 国際調査報告の基礎

a. 言語は、下記に示す場合を除くほか、この国際出願がされたものに基づき国際調査を行った。

☐ この国際調査機関に提出された国際出願の翻訳文に基づき国際調査を行った。

b. この国際出願は、ヌクレオチド又はアミノ酸配列を含んでおり、次の配列表に基づき国際調査を行った。

☐ この国際出願に含まれる書面による配列表

☐ この国際出願と共に提出されたフレキシブルディスクによる配列表

☐ 出願後に、この国際調査機関に提出された書面による配列表

☐ 出願後に、この国際調査機関に提出されたフレキシブルディスクによる配列表

☐ 出願後に提出した書面による配列表が出願時における国際出願の開示の範囲を超える事項を含まない旨の陳述書の提出があった。

☐ 書面による配列表に記載した配列とフレキシブルディスクによる配列表に記録した配列が同一である旨の陳述書の提出があった。

2. ☐ 請求の範囲の一部の調査ができない(第I欄参照)。

3. ☐ 発明の単一性が欠如している(第II欄参照)。

4. 発明の名称は ☒ 出願人が提出したものを承認する。

☐ 次に示すように国際調査機関が作成した。

5. 要約は ☒ 出願人が提出したものを承認する。

☐ 第III欄に示されているように、法施行規則第47条(PCT規則38.2(b))の規定により国際調査機関が作成した。出願人は、この国際調査報告の発送の日から1カ月以内にこの国際調査機関に意見を提出することができる。

6. 要約書とともに公表される図は、

第 _____ 図とする。 ☐ 出願人が示したとおりである。

☒ なし

☐ 出願人は図を示さなかった。

☐ 本図は発明の特徴を一層よく表している。

A. 発明の属する分野の分類 (国際特許分類 (IPC))
Int. Cl⁷ C08G63/06、D01F6/62 305

B. 調査を行った分野

調査を行った最小限資料 (国際特許分類 (IPC))
Int. Cl⁷ C08G63/06、D01F6/62 305

最小限資料以外の資料で調査を行った分野に含まれるもの

日本国実用新案公報 1926-2000年
日本国公開実用新案公報 1971-2000年
日本国登録実用新案公報 1994-2000年
日本国実用新案登録公報 1996-2000年

国際調査で使用した電子データベース (データベースの名称、調査に使用した用語)

C. 関連すると認められる文献

| 引用文献の カテゴリー* | 引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示 | 関連する 請求の範囲の番号 |
|-----------------|---|------------------|
| X | J P, 10-287735, A (鐘紡株式会社)、 27. 10月. 1998 (27. 10. 98)、第2頁左欄第2行 -第10行、第4頁左欄第6行-第17行、第4頁右欄第15行- 第22行 (ファミリーなし) | 1-43 |
| A | J P, 8-231688, A (株式会社島津製作所)、 10. 9月. 1996 (10. 09. 96)、第2頁左欄第2行- 第8行、第2頁左欄第16行-第23行、第2頁右欄第36行-第 3頁左欄第2行 (ファミリーなし) | 1-43 |

☐ C欄の続きにも文献が列挙されている。

☐ パテントファミリーに関する別紙を参照。

* 引用文献のカテゴリー

「A」 特に関連のある文献ではなく、一般的技術水準を示すもの
「E」 国際出願日前の出願または特許であるが、国際出願日以後に公表されたもの
「L」 優先権主張に疑義を提起する文献又は他の文献の発行日若しくは他の特別な理由を確立するために引用する文献 (理由を付す)
「O」 口頭による開示、使用、展示等に言及する文献
「P」 国際出願日前で、かつ優先権の主張の基礎となる出願

の日の後に公表された文献
「T」 国際出願日又は優先日後に公表された文献であって出願と矛盾するものではなく、発明の原理又は理論の理解のために引用するもの
「X」 特に関連のある文献であって、当該文献のみで発明の新規性又は進歩性がないと考えられるもの
「Y」 特に関連のある文献であって、当該文献と他の1以上の文献との、当業者にとって自明である組合せによって進歩性がないと考えられるもの
「&」 同一パテントファミリー文献

国際調査を完了した日
11. 09. 00

国際調査報告の発送日
19.09.00

国際調査機関の名称及びあて先
日本国特許庁 (ISA/J P)
郵便番号100-8915
東京都千代田区霞が関三丁目4番3号

特許庁審査官 (権限のある職員)
森川 聡

電話番号 03-3581-1101 内線 3456

INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP00/04000

A. CLASSIFICATION OF SUBJECT MATTER
Int.Cl⁷ C08G63/06, D01F6/62 305

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
Int.Cl⁷ C08G63/06, D01F6/62 305

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Jitsuyo Shinan Koho 1926-2000 Toroku Jitsuyo Shinan Koho 1994-2000
Kokai Jitsuyo Shinan Koho 1971-2000 Jitsuyo Shinan Toroku Koho 1996-2000

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| X | JP, 10-287735, A (Kanebo, LTD.), 27 October, 1998 (27.10.98), page 2, left column, lines 2 to 10; page 4, left column, lines 6 to 17; page 4, right column, lines 15 to 22 (Family: none) | 1-43 |
| A | JP, 8-231688, A (Shimadzu Corporation), 10 September, 1996 (10.09.96), page 2, left column, lines 2 to 8; page 2, left column, lines 16 to 23; page 2, right column, line 36 to page 3, left column, line 2 (Family: none) | 1-43 |

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"&" document member of the same patent family

Date of the actual completion of the international search
11 September, 2000 (11.09.00)

Date of mailing of the international search report
19 September, 2000 (19.09.00)

Name and mailing address of the ISA/
Japanese Patent Office

Authorized officer

Facsimile No.

Telephone No.

国際調査報告

国際出願番号 PCT/JPO0/04000

A. 発明の属する分野の分類 (国際特許分類 (IPC))
Int. Cl⁷ C08G63/06、D01F6/62 305

B. 調査を行った分野
調査を行った最小限資料 (国際特許分類 (IPC))
Int. Cl⁷ C08G63/06、D01F6/62 305

最小限資料以外の資料で調査を行った分野に含まれるもの
日本国実用新案公報 1926-2000年
日本国公開実用新案公報 1971-2000年
日本国登録実用新案公報 1994-2000年
日本国実用新案登録公報 1996-2000年

国際調査で使用した電子データベース (データベースの名称、調査に使用した用語)

C. 関連すると認められる文献

| 引用文献の カテゴリー* | 引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示 | 関連する 請求の範囲の番号 |
|-----------------|--|------------------|
| X | JP, 10-287735, A (鐘紡株式会社)、 27. 10月. 1998 (27. 10. 98)、第2頁左欄第2行- 第10行、第4頁左欄第6行-第17行、第4頁右欄第15行- 第22行 (ファミリーなし) | 1-43 |
| A | JP, 8-231688, A (株式会社島津製作所)、 10. 9月. 1996 (10. 09. 96)、第2頁左欄第2行- 第8行、第2頁左欄第16行-第23行、第2頁右欄第36行-第 3頁左欄第2行 (ファミリーなし) | 1-43 |

☐ C欄の続きにも文献が列挙されている。☐ パテントファミリーに関する別紙を参照。

* 引用文献のカテゴリー

「A」 特に関連のある文献ではなく、一般的技術水準を示すもの
「E」 国際出願日前の出願または特許であるが、国際出願日以後に公表されたもの
「L」 優先権主張に疑義を提起する文献又は他の文献の発行日若しくは他の特別な理由を確立するために引用する文献 (理由を付す)
「O」 口頭による開示、使用、展示等に言及する文献
「P」 国際出願日前で、かつ優先権の主張の基礎となる出願

の日の後に公表された文献

「T」 国際出願日又は優先日後に公表された文献であって出願と矛盾するものではなく、発明の原理又は理論の理解のために引用するもの
「X」 特に関連のある文献であって、当該文献のみで発明の新規性又は進歩性がないと考えられるもの
「Y」 特に関連のある文献であって、当該文献と他の1以上の文献との、当業者にとって自明である組合せによって進歩性がないと考えられるもの
「&」 同一パテントファミリー文献

国際調査を完了した日
11. 09. 00

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19.09.00

国際調査機関の名称及びあて先
日本国特許庁 (ISA/JP)
郵便番号100-8915
東京都千代田区霞が関三丁目4番3号

特許庁審査官 (権限のある職員)
森川 聡
電話番号 03-3581-1101 内線 3456